tificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date: October 13, 2005

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Sonia V. McVean

**DOCKET NUMBER** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tadashi NOMURA et al.

Serial No.: 10/656,246

Filed: September 8, 2003

Title: PIEZOELECTRIC RESONATOR. PIEZOELECTRIC FILTER AND

**COMMUNICATION APPARATUS** 

36856.1117

Examiner: D. Takaoka

Art Unit: 2817

## INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment **Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, submitted herewith are copies of five (5) references cited in the enclosed Official Communication issued in a corresponding Japanese Patent Application. For the Examiner's convenience, we have enclosed an English translation of the Official Communication from the corresponding Japanese Patent Application and a completed Form PTO-1449. Applicants have had this translation prepared by a professional translation service and applicants presently have no reason to doubt that the translation is accurate. However, Applicants have not independently verified the accuracy of such translation, and accordingly, submission of the same should not be taken as a binding admission by Applicants that the translation is accurate.

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U.S. Serial No. 10/656,246 October 13, 2005 Page 2 of 2

The statement is not a representation that all of the information cited is necessarily effective as prior art against the application.

Applicants certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of this statement, and that this is the first citation of these references by a foreign patent office in a counterpart foreign patent application.

In accordance with 37 CFR § 1.97(c), Applicants enclose a Credit Card Form for \$180.00 to pay for the fee set forth in 37 CFR § 1.17(p). The Commissioner is authorized to charge Deposit Account No. 50-1353 for any fee shortages.

Applicants respectfully request that the disclosed references be made of record in the subject application.

Date: October 13, 2005

Respectfully submitted.

Attorneys for Applicants

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Substitute for form 1449/PTO OCT 17 2005
INFORMATION BISCLOSURE
STATEMENT BY APPLICANT
(Use as many sheets as necessary)

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Sheet

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C	omplete if Known	
Application Number	10/656,246	
Filing Date	September 8, 2003	
First Named Inventor	Tadashi NOMURA	
Art Unit	2817	
Examiner Name	D. Takaoka	
Attorney Docket Number	36856.1117	

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number Number-Kind Code <sup>2(If known)</sup>	Publication Date MM -YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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Examine	Cite	Foreign Patent Document	Publication	Name of Patentee or	Pages, Columns, Lines, Where	
r	No.1		Date	Applicant of Cited	Relevant Passages or Relevant	1 Le
Initials*		Country Code <sup>3</sup> -Number-Kind Code <sup>5</sup> (if known)	MM -YYYY	Document	Figures Appear	
	1	JP 2002-223144 (with English	08-2002	<b>JAPAN</b>		X
		abstract and machine translation)				
	2	JP 60-206033 (with English	10-1985	JAPAN		X
:	1	abstract)				1
	3	JP 02-185057 (with English	07-1990	JAPAN		X
	1	abstract)				
	4	JP 58-031609 (with English	02-1983	JAPAN		X
		abstract)				
	5	JP 2002-237738 (with English	08-2002	JAPAN		, X
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Examiner	 Date	
Signature	Considered	

<sup>\*</sup>Examiner: Initial If reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document by the two-letter code (WIPO Strandard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Abstract is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.

Complete if Known Substitute for form 1449/PTO **Application Number** 10/656,246 Filing Date September 8, 2003 INFORMATION DISCLOSURE First Named Inventor Tadashi NOMURA STATEMENT BY APPLICANT Art Unit 2817 **Examiner Name** (Use as many sheets as necessary) D. Takaoka Sheet of 2 Attorney Docket Number 36856.1117

**NON PATENT LITERATURE DOCUMENTS** 

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title  Of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	. T²
	6	Official Communication issued on August 23, 2005, in the corresponding Japanese Application No. 2002-279428. (With full English translation)	x
	l		
Examiner Signature		Date Considered	

<sup>\*</sup>Examiner: Initial if reference considered, whether of not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered, include copy of this form with next communication to applicant.

Applicant unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14.